

APPENDIX B- Current Demographic Data Transmittal Form (U5605 R2/08) February 2008 Revision

DEMOGRAPHIC DATA
TRANSMITTAL
U5605 (R2/08) University of California
Human Resources and Benefits

NOTE TO ACCOUNTING OFFICE: DO NOT COPY OR DUPLICATE THIS FORM.

(14)	EMPLOYEE ID NO (8-12)	DATE PREPARED (15-18)	EDUCATION (19)	ETHNIC (20)	AGE (21)	SEX (22)	RELIGION (23)	DISABILITY (24-27)	ARMED FORCES SERVICE MEDAL (28)
ED		MO DAY YEAR							

DO NOT KEY ENTER DATA BELOW THIS LINE

EMPLOYEE NAME (Last, First, Middle Initial)	CAMPUS	DEPARTMENT/OR ORGANIZATIONAL UNIT	MO	DAY	YR

Please identify the appropriate category by placing an "X" in the corresponding box. Select one box only--if two or more ethnic categories are applicable, choose the one category with which you most closely identify. The purpose for the requested information is to meet the University's legal obligations as a Federal contractor. (See reverse for Privacy Notification Statement.)

- WHITE** (not of Hispanic origin) (F) - Persons having origins in any of the original peoples of Europe, North Africa, or the Middle East.
- BLACK/AFRICAN-AMERICAN** (not of Hispanic origin) (A) - Persons having origins in any of the Black racial groups of Africa.
- ASIAN OR PACIFIC ISLANDER**
 - Chinese/Chinese-American (2) - Persons having origins in any of the original peoples of China.
 - Japanese/Japanese-American (B) - Persons having origins in any of the original peoples of Japan.
 - Filipino/Pilipino (L) - Persons having origins in any of the original peoples of the Philippine Islands.
 - Pakistani/East Indian (R) - Persons having origins in any of the original peoples of the Indian subcontinent (e.g., India and Pakistan).
 - Other Asian (K) - Persons having origins in any of the original peoples of the Far East (including Korea), Southeast Asia, or Pacific Islands (including Samoa), not included in any of the Asian categories listed above.
- AMERICAN INDIAN or ALASKAN NATIVE** (C) - Persons having origins in any of the original American Indian peoples of North America, including Eskimos and Aleuts, or who maintain cultural identification through tribal affiliation or community recognition.
- HISPANIC** (including Black individuals whose origins are Hispanic)
 - Mexican/Mexican-American/Chicano (E) - Persons of Mexican culture or origin, regardless of race.
 - Latin-American/Latino (S) - Persons of Latin American (e.g., Central American, South American, Cuban, Puerto Rican) culture or origin, regardless of race.
 - Other Spanish/Spanish-American (W) - Persons of Spanish culture or origin, not included in any of the Hispanic categories listed above.

Please place an "X" in each of the boxes that apply to you (more than one category may be applicable). Leave blank if none apply.

VIETNAM-ERA VETERAN STATUS CODE (VIETNAM-ERA VETERAN) (V)

Vietnam-Era Veteran means a person who:
 1. Served on active duty for a period of more than 180 days, and was discharged or released therefrom with other than a dishonorable discharge, if any part of such active duty occurred: a. in the Republic of Vietnam between February 28, 1961, and May 7, 1975; or b. between August 5, 1964, and May 7, 1975, in all other cases; or
 2. Was discharged or released from active duty for a service-connected disability if any part of such active duty was performed; a. in the Republic of Vietnam between February 28, 1961, and May 7, 1975; or b. between August 5, 1964, and May 7, 1975, in all other cases.

WAR/CAMPAIGN/EXPEDITION VETERAN STATUS CODE (WAR/CAMPAIGN/EXPEDITION VETERAN) (W)

War/Campaign/Expedition Veteran means: A veteran who served on active duty in the U.S. military, ground, naval, or air service during a war or in a campaign or expedition for which a campaign badge has been authorized. To identify the military operations that meet this criterion, see the reverse side of this form.

DISABLED VETERAN STATUS CODE (DISABLED VETERAN) (D)

Disabled Veteran means:
 1. A veteran of the U.S. military, ground, naval or air service who is entitled to compensation (or who but for the receipt of military retired pay would be entitled to compensation) under laws administered by the Secretary of Veterans Affairs; or
 2. A person who was discharged or released from active duty because of a service-connected disability.

ARMED FORCES SERVICE MEDAL VETERAN STATUS CODE (ARMED FORCES SERVICE MEDAL VETERAN) (M)

Armed Forces Service Medal Veteran means: A veteran who, while serving on active duty in the U.S. military, ground, naval or air service, participated in a United States military operation for which an Armed Forces service medal was awarded pursuant to Executive Order No. 12965. To identify the military operations that meet this criterion, check your DD Form 214, Certificate of Release or Discharge from Active Duty.

RECENTLY SEPARATED VETERAN

Any veteran during the three year period beginning on the date of such veteran's discharge or release from active duty in the U.S. military, ground, naval or air service. Separation date: _____ / _____ / _____ (Month/Year)

INDIVIDUAL WITH A DISABILITY STATUS CODE (INDIVIDUAL WITH A DISABILITY) (I)

An individual with a disability is a person who has a physical or mental impairment which substantially limits one or more of such person's major life activities, or who has a record of such impairment. _____ / _____ / _____ (Month/Year)

All questions regarding ethnic, veterans, or disabled identification should be directed to the campus Equal Employment Opportunity/Affirmative Action Office.

RETN: Destroy after data entry SEE REVERSE FOR PRIVACY NOTIFICATIONS WHEN COMPLETED SEND TO ACCOUNTING OFFICE

Identifies month and year when the form was last revised

MILITARY OPERATIONS SINCE 1937 FOR WHICH A CAMPAIGN OR EXPEDITIONARY MEDAL HAS BEEN AWARDED, EXCEPT FOR OPERATIONS OCCURRING DURING A DECLARED WAR

Military Operation	Inclusive Dates	Military Operation	Inclusive Dates
American Defense Service (6)	September 8, 1939-December 7, 1941	Grenada (Operation Urgent Fury)	October 23, 1983-November 21, 1983
Army Occupation of Austria	May 9, 1945-July 27, 1955	Haiti (Operation Uphold Democracy)	September 16, 1994-March 31, 1994
Army Occupation of Berlin	May 9, 1945-October 2, 1990	Iraq (Operation Desert Storm)	December 31, 1990-December 31, 1990
Army Occupation of Germany	May 9, 1945-May 5, 1955	Iraq (Operation Enduring Freedom)	October 24, 2001-Present
Army Occupation of Japan	September 3, 1945-April 27, 1952	Iraq (Operation Iraqi Freedom)	March 18, 2003-Present
China Service	July 7, 1937-September 7, 1939	Korea	October 1, 1950-June 30, 1974
China Service Medal (Extended)	September 2, 1945-April 1, 1957	Kosovo	March 24, 1999-Present
Korea Defense Service Medal	July 28, 1954-Present	Laos	April 19, 1961-October 7, 1962
Korean Service	June 27, 1950-July 27, 1954	Lebanon	July 1, 1958-November 1, 1958 June 1, 1983-December 1, 1987
Kosovo Campaign		Libyan Area	
Operation Allied Harbor	April 4, 1999-September 1, 1999	(Operation El Dorado Canyon)	April 12, 1986-April 17, 1986
Operation Joint Guardian	June 11, 1999-Present	Majuro Atoll Operation	May 15, 1975
Operation Sustain Hope		Panama (Operation Just Cause)	December 20, 1989-January 31, 1990
Shoring Hope	April 4, 1999-July 10, 1999	Persian Gulf (Operation Earnest Will)	July 24, 1987-August 1, 1990
Operation Noble Avenger	March 24, 1999-July 20, 1999	Southwest Asia	
Operation Task Force Hawk	April 5, 1999-June 24, 1999	Operation Southern Watch	December 1, 1995-March 10, 2003
Operation Task Force Sabre	March 31, 1999-July 8, 1999	Operation Vigilant Sentinel	December 1, 1995-February 1, 1996
Operation Task Force Falcon	June 11, 1999-Present	Operation Northern Watch	January 1, 1997-March 10, 2003
Operation Task Force Hunter	April 1, 1999-November 1, 1999	Operation Desert Thunder	November 11, 1999-December 25, 1999
Navy Occupation of Trieste	May 8, 1945-October 25, 1954	Operation Desert Fox	December 16, 1998-December 23, 1998
Navy Occupation of Austria	May 8, 1945-October 25, 1955	Persian Gulf Intercept Operation	December 1, 1995-Present
Navy Units of the Sixth Fleet	May 9, 1945-October 25, 1955	Quemoy and Matsu Islands	August 23, 1958-June 1, 1963
Southwest Asia Service (Operations)		Somalia (Operation Restore Hope and United Shield)	December 5, 1992-March 31, 1993
Desert Shield and Desert Storm	August 2, 1990-November 30, 1995	Taiwan Straits	August 23, 1998-January 1, 1999
Thailand	May 10, 1962-August 10, 1962	Thailand	May 16, 1982-August 10, 1982
Vietnam Service	July 4, 1965-March 25, 1975	Thailand	March 29, 1973-August 15, 1973

Armed Forces Expeditionary Medal (AFEM) for these operations:

Afghanistan	
Operation Enduring Freedom	October 24, 2001-Present
Operation Iraqi Freedom	March 19, 2003-Present
Berlin	August 24, 1961-June 1, 1963
Bosnia	
Operation Joint Endeavor	November 20, 1995-December 20, 1996
Operation Joint Guard	December 20, 1996-Present
Operation Joint Forge	June 21, 1998-Present
Cambodia	March 29, 1973-August 15, 1973
Cambodia Evacuation	
Operation Eagle Pull	April 11, 1975-April 13, 1975
Congo	July 14, 1960-September 1, 1962 and November 23, 1964-November 27, 1964
Cuba	October 24, 1962-June 1, 1963
Dominican Republic	April 20, 1965-September 21, 1966
El Salvador	January 1, 1981-February 1, 1992
Global War on Terrorism	September 11, 2001-Present

Navy Expeditionary Medal and Marine Corps Expeditionary Medal for these operations:

Cuba	January 3, 1961-October 23, 1961
Indian Ocean/Indian Ocean	November 21, 1973-October 20, 1973
Iran/Yemen/Indian Ocean	December 8, 1979-June 6, 1979
Lebanon	August 20, 1982-May 31, 1983
Liberta (Operation Sharp Edge)	August 5, 1990-February 21, 1991
Libyan Area	January 20, 1986-June 27, 1986
Panama	April 1, 1990-December 19, 1994
Panama	February 1, 1999-June 13, 1999
Persian Gulf	February 1, 1987-July 23, 1987
Rwanda (Operation Desert Planner)	April 7, 1994-April 18, 1994
Thailand	May 16, 1982-August 10, 1982

PRIVACY NOTIFICATION STATEMENT

(Revised November 6, 2007 for USGDS)

The State of California Information Practices Act of 1977 requires the University to provide the following information to individuals who are asked supply personal information about themselves.

- The principal purpose for requesting the information on this form is to comply with the following Federal requirements: (i) Title VII of the Civil Rights Act of 1964, as amended; (ii) Executive Order 11246, as amended; (iii) Section 402 of the Vietnam Era Veterans Readjustment Assistance Act of 1974, as amended; and (iv) Section 503 of the Rehabilitation Act of 1973, as amended, as well as relevant implementing regulations.
- The information supplied on this form is used for required aggregated workforce data reporting to the federal government and for the administration of campus equal employment opportunity/affirmative action and human resources programs. The information will be given to government agencies responsible for civil rights laws if those agencies request such information, or as otherwise required by law.
- Furnishing the information requested on this form is voluntary. There is no penalty for not completing the form.
- Individuals have the right to review their own records in accordance with University personnel policies and collective bargaining agreements. Information on applicable policies and agreements can be obtained from campus or Office of the President human resources and academic personnel offices.
- The University offices responsible for maintaining the information supplied on this form are the UC Human Resources and Benefits Office and Academic Advancement Office, and campus Equal Employment Opportunity and Affirmative Action Offices.

APPENDIX C- New Demographic Data Transmittal Form (U5605 R6/10) June 2010 Revision

Identifies month and year when the form was last revised

DEMOGRAPHIC DATA TRANSMITTAL
U5605 (R6/10) University of California Human Resources

EMPLOYEE NAME (LAST, FIRST, MIDDLE INITIAL)	CAMPUS	DEPARTMENT/ORGANIZATIONAL UNIT	BIRTHDATE		
			MO	DF	Y5

As a Federal contractor, the University of California is required to collect and report information regarding the ethnic and racial background of its employees (see reverse for Privacy Notification Statement). Please self-identify your ethnicity and race by completing Sections 1A and 1B of this form.

Additionally, to comply with Federal recordkeeping mandates, the University of California is required to monitor the number of its employees who have a disability or are protected veterans. Please self-identify your status as disabled individual and/or protected veteran by completing Section 2 and/or Section 3 of this form as applicable to you.

1. ETHNICITY AND RACE

Please complete Sections 1A and 1B below. The information must be collected in both sections in order for the University to comply with U.S. Department of Education requirements for the collection and reporting of ethnicity and race data as well as Affirmative Action program reporting requirements. **The University of California will not be able to accurately report your information if both sections are not completed.**

A. Are you of Hispanic, Latino or Other Spanish origin? YES NO
In addition, please select one or more of the racial categories that are applicable to you.
 American Indian or Alaska Native Asian Black/African American Native Hawaiian or Other Pacific Islander White

B. For Affirmative Action program reporting, the University of California is required to provide only ONE race/ethnicity description for its employees. Please place an "X" in the box that best identifies the single option from Section 1B that you want us to report for you.

American Indian or Alaska Native (C) - A person having origins in any of the original peoples of North and South America (including Central America) who maintains cultural identification through tribal affiliation or community attachment.

Asian

Chinese/Chinese American (2) - A person having origins in any of the original peoples of China.
 Filipino/Philipino (L) - A person having origins in any of the original peoples of the Philippine Islands.
 Japanese/Japanese American (B) - A person having origins in any of the original peoples of Japan.
 Native Hawaiian or Other Pacific Islander (Z) - A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.
 Pakistani/East Indian (R) - A person having origins in any of the original peoples of the Indian subcontinent (e.g., India and Pakistan).
 Other Asian (X) - A person having origins in any of the original peoples of the Far East or South East Asia (including Cambodia, Korea, Malaysia, Thailand, and Vietnam).

Black/African American (not of Hispanic origin) (A) - A person having origins in any of the Black racial groups of Africa.

Hispanic/Latino

Mexican/Mexican American/Chicano (E) - A person of Mexican culture or origin regardless of race.
 Latin American/Latino (S) - A person of Latin American (e.g. Central American, South American, Cuban, Puerto Rican) culture or origin regardless of race.
 Other Spanish/Spanish American (W) - A person of Spanish culture or origin, not included in any of the Hispanic categories listed above.
 White (not of Hispanic origin) (F) - A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.

2. DISABILITY STATUS

Please place an "X" in the box below if you are an individual with a disability, otherwise please leave blank.
 INDIVIDUAL WITH A DISABILITY (H)
 An individual with a disability is a person who has a physical or mental impairment which substantially limits one or more of such person's major life activities, or who has a record of such impairment.

All questions regarding ethnic, veterans, or disabled identification should be directed to the campus Equal Employment Opportunity/Affirmative Action Office.

NOTE: Destroy after data entry pursuant to local procedures

SEE REVERSE FOR PRIVACY NOTIFICATIONS OVER ➔

3. VETERAN STATUS

Please place an "X" in each of the boxes below that apply to you (more than one category may be applicable). Leave blank if none apply.

VIETNAM ERA VETERAN (V)
 Vietnam Era Veteran means a person who:
 1. Served on active duty for a period of more than 180 days, and was discharged or released therefrom with other than a dishonorable discharge, if any part of such active duty occurred: a. in the republic of Vietnam between February 28, 1961, and May 7, 1975; or b. between August 5, 1964, and May 7, 1975, in all other cases; or
 2. Was discharged or released from active duty because of a service-connected disability, if any part of such active duty was performed: a. in the republic of Vietnam between February 28, 1961, and May 7, 1975; or b. between August 5, 1964, and May 7, 1975, in all other cases.

WAR/CAMPAIGN/EXPEDITION VETERAN (E)
 War/Campaign/Expedition Veteran means: A veteran who served on active duty in the U.S. military, ground, naval or air service during war or in a campaign or expedition for which a campaign badge has been authorized. For a list of officially recognized campaigns, please visit the U.S. Office of Personnel Management website at <http://opm.gov/StaffingPortal/vgmedata.asp>

DISABLED VETERAN (S)
 Disabled Veteran means:
 1. A veteran of the U.S. military, ground, naval or air service who is entitled to compensation (or who but for the receipt of military benefits would be entitled to compensation) under laws administered by the Secretary of Veterans Affairs; or
 2. A person who was discharged or released from active duty because of a service-connected disability.

ARMED FORCES SERVICE MEDAL VETERAN (M)
 Armed Forces Service Medal Veteran means: A veteran who, while serving on active duty in the U.S. military, ground, naval or air service participated in a United States military operation for which an Armed Forces service medal was awarded pursuant to Executive Order No. 12985. To identify the military operations that meet this criterion, check your DD Form 214, Certificate of Release or Discharge from Active Duty.

RECENTLY SEPARATED VETERAN (MM,YY)
 Any veteran during the three year period beginning on the date of such veteran's discharge or release from active duty in the U.S. military, ground, naval or air service. Separation date: ____ / ____ / ____ (Month/Year)

FOR INTERNAL USE ONLY														
NOTE TO ACCOUNTING OFFICE: DO NOT COPY OR DUPLICATE THIS FORM														
(1-2)	EMPLOYEE ID NO. (4-12)										DATE RECEIVED (13-14)			
	ETHNIC CODE (18)	VET STAT (20)	DIS ILE (21)	DIS ILE (22)	VET WAR (23)	ROW VET SEP DATE (24-25)	VET MEDAL (26)	HELP (28)	ARMED NO (32)	ASIAN (31)	AFF/AMI (30)	NAT LAW (33)	W	H
ED														

PRIVACY NOTIFICATION STATEMENT (Revised February 22, 2010 for U5605)

The State of California Information Practices Act of 1977 requires the University to provide the following information to individuals who are asked to supply personal information about themselves.

- The principal purpose for requesting the information on this form is to comply with the following Federal requirements: (i) Title VII of the Civil Rights Act of 1964, as amended; (ii) Executive Order 11246, as amended; (iii) Section 402 of the Vietnam Era Veterans Readjustment Assistance Act of 1974, as amended; (iv) Section 503 of the Rehabilitation Act of 1973, as amended; (v) Title IV of the Higher Education Act of 1965, as amended (20 USC 1094 (a) (17)); and (vi) Section 490 of the Higher Education Amendments of 1992 (P.L. 102-325), as well as relevant implementing regulations.
- The information supplied on this form is kept confidential. It is used for required aggregated workforce data reporting to the federal government and for internal workforce statistical analysis, reporting, and outreach. It will be given to government agencies responsible for civil rights laws only when requested, or as otherwise required by law. The aggregated workforce data serves as a tool to the administrative campus equal employment opportunity/affirmative action and human resources programs. The information supplied on this form will be used only as described.
- Furnishing the information requested on this form is voluntary. There is no penalty for not completing the form.
- Individuals have the right to review their own records in accordance with University personnel policies and collective bargaining agreement information on applicable policies and agreements can be obtained from campus or Office of the President human resources and academic personal offices.
- The University offices responsible for maintaining the information supplied on this form are the UC Human Resources Office and UC Academic Advancement Office, and campus Equal Employment Opportunity and Affirmative Action Offices.